# Obstructive sleep apnoea and the need for its introduction into dental curricula

P. Güneri<sup>1</sup>, B. İlhan<sup>1</sup>, E. Çal<sup>2</sup>, J. B. Epstein<sup>3</sup> and G. D. Klasser<sup>4</sup>

<sup>1</sup> Department of Oral and Maxillofacial Radiology, Ege University School of Dentistry, Izmir, Turkey,

<sup>2</sup> Department of Prosthetic Dentistry, Ege University School of Dentistry, Izmir, Turkey,

<sup>3</sup> Division of Otolaryngology and Head and Neck Surgery City of Hope National Medical Center, Samuel Oschin Comprehensive Cancer Institute, Cedars-Sinai Medical Center, Los Angeles, CA, USA

<sup>4</sup> Department of Diagnostic Sciences, School of Dentistry, Louisiana State University, New Orleans, LA, USA

#### keywords

sleep disordered breathing; obstructive sleep apnoea; treatment options; dental curricula.

#### Correspondence

Pelin Güneri Department of Oral and Maxillofacial Radiology Ege University School of Dentistry Bornova-Izmir Turkey Tel: +90 232 388 10 81 Fax: +90 232 388 03 25 e-mail: peleen\_2000@yahoo.com

Accepted: 26 January 2016

doi: 10.1111/eje.12190

# Introduction

Sleep disordered breathing (SDB) has several presentations with one being obstructive sleep apnoea (OSA) (1). OSA involves episodes of both obstructive apnoea and hypopnoeic events (2). Arousal (micro-awakening) is defined as a brief awakening characterised with an abrupt shift in the electroencephalogram/ electromyogram lasting longer than 3 s (3). The arousal may be spontaneous or a result of movement (such as periodic limb movements) or due to respiratory factors. The relationship between SDB and arousal is linear in that the greater the SDB severity, the more numerous are the arousals (arousal index >6 per hour may make people chronically sleepy) (4).

In the adult population, OSA may be due to collapse of the pharynx and intermittent partial (hypopnoea) or complete (apnoea) obstruction of the upper airway (5–7) (Fig. 1). Common characteristics of OSA include repetitive apnoea/hypopnoea, sleep fragmentation and excessive daytime sleepiness (7, 8). Various maxillofacial skeletal and soft tissue abnormalities (6, 7, 9, 10), endocrine disorders (11), smoking, obesity and genetics (12), alcohol consumption (13) and positional factors (8) have been suggested as the contributing components of

# Abstract

Obstructive sleep apnoea (OSA) is a major health problem which causes blood oxygen desaturation that may initiate a cascade of events via inflammatory cytokines and adrenocorticotrophic hormone that may have impact upon quality of life and lead to potential life-threatening events. Even though OSA affects an increasing number of individuals, the role of dental practitioners in recognition, screening and management has not developed accordingly. The goal of this article was to provide updated information to dental practitioners on pathophysiology, consequences and treatment options of OSA with a focused discussion on oral appliance (OA) therapy, as this topic is not routinely included in current dental curricula of many dental schools. Additionally, we present a template dental curriculum for predoctoral and/or postdoctoral students in education regarding sleep disordered breathing.

OSA. Individuals often seek treatment for undiagnosed OSA due to snoring, which is often a major complaint originating from their sleep partner (14). Amongst those aforementioned characteristics, high body mass index (BMI) is most common (7–9), and due to the current obesity epidemic, both the prevalence and severity of SDB have been increasing (3%-9% females; 10%-17% males in ages 30-49 to 50-70 years of age) (15, 16). In contrast, the prevalence of OSA in paediatric patients has been reported from 0-1% to 4-5.7% (17, 18).

The gold standard for the diagnosis of OSA is overnight polysomnography (PSG), which includes synchronised observation and audio-visual recordings of both sleep and respiration (7, 19). Additionally, electroencephalogram (EEG), electrocardiogram (EKG), electrooculogram (EOG) and electromyography (EMG) along with respiration rate, tidal volume, and inspiration and expiration volumes are utilised in diagnosis of OSA (19–21). Epworth Sleepiness Scale (ESS) and respiratory disturbance index (RDI) [-average number of apnoeas, hypopnoeas and respiratory effort-related arousals (RERAs) per hour of sleep] are also used to assess daytime sleepiness and OSA, respectively (22). The severity of OSA is determined with the apnoea/hypopnoea index (AHI), which is the number of

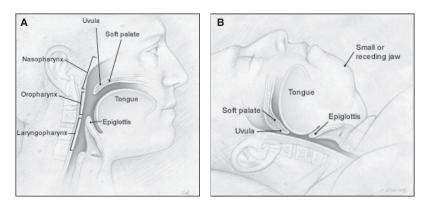


Fig. 1. (A) Normal airway: The soft palate, uvula and the tongue are normal in length and size; the tongue is angled forward. The upper airway at the level of the nasopharynx, oropharynx and hypopharynx is normal in size and contour, (B) Abnormal airway during sleep: Multiple sites of obstruction are present; elongated and enlarged soft palate blocks the posterior airway at the level of the nasopharynx and oropharynx. Due to a retruding jaw, the enlarged tongue is pushed posteriorly to impinge on the hypopharyngeal space (http://www.aafp.org/afp/1999/1115/p2279.html; accessed on 11,11.2015).

respiratory events per hour of sleep. An AHI score of five or greater is considered diagnostic for OSA (7, 8, 10, 14); it is considered mild when AHI >5-14/h, moderate when AHI = 15–29/h, and severe when AHI >30/h (8, 14). In children, AHI scores for OSA differ from those of adults: 1–5 score refers to mild, 6–10 moderate, and greater than 10 AHI score signifies severe OSA (18).

The mechanisms of OSA remain to be fully identified (23), but the loss of pharyngeal dilator muscle tone appears responsible for the narrowing of the airway (8, 14, 24). Additionally, posterior positioning of the mandible or constriction of pharyngeal walls by fat pads in obese individuals (BMI  $\ge$ 30 kg/m<sup>2</sup>) (20) may result in neurophysiological activation (8, 25). This activation leads to abrupt mid-sleep awakening in the form of arousal, resulting in sleep fragmentation and daytime sleepiness (24, 25).

#### Systemic consequences of OSA

In untreated OSA, oxygen desaturation is often reported with an elevated AHI index (6, 8) resulting in neurophysiological reactivation which is primarily responsible for the development of adverse outcomes (7, 26). The adverse outcomes include an increase in systemic inflammatory response (26–31), plasma adrenocorticotrophic hormone (ACTH), urinary norepinephrine and decreased growth hormone concentrations (28). The production and release of these inflammatory mediators and hormonal factors are closely related to life-threatening outcomes such as hypertension, cerebrovascular accident (CVA), congestive heart failure and atrial fibrillation, increased risk of motor vehicle accidents, excessive daytime sleepiness, and impaired quality of life including social life (32–37).

One of the serious disorders linked to OSA is type 2 diabetes mellitus (DM) (28, 38–40), and a bidirectional correlation between OSA and type 2 DM has been suggested (28, 38, 41–43).

Similarly, associations between OSA and hypertension, coronary artery diseases and CVA have been investigated (44, 45). The mechanisms responsible for these interactions involve

2

disturbances in sleep quantity or quality which may lead to oxidative stress and increased plasma norepinephrine levels and subsequent sympathetic nerve activity upon the vasculature. These processes may contribute to the development or progression of hypertension, increase in heart rate, and rapid rise and fall in cerebral blood flow (46, 47). Additionally, the chronic presence of inflammatory cytokines in the peripheral circulation of patients with OSA may activate immune and inflammatory pathways, leading to subsequent neuroinflammatory/ neurodegenerative diseases such as chronic fatigue syndrome (48), impaired learning and memory (49), cognition (50) and Alzheimer's disease (51).

The National Asthma Education and Prevention Program Expert Panel includes OSA as one of the contributors to uncontrolled asthma (52, 53), because of the effects of cytokines and superoxide radicals related to hypoxia (54). Individuals with OSA are 3.6 times more likely to have uncontrolled asthma (53), and moderate-to-severe asthma is observed in 34% of patients with OSA who had an AHI >5 events/h (55).

An association between OSA and cancer has been the subject of interest in recent years (56, 57). Accelerated tumour angiogenesis and elevated oxidative stress observed in nocturnal hypoxia may damage DNA and RNA and potentially promote tumorigenesis (58–60). Also, increased cancer-related mortality correlating with the severity of OSA has been noted (61).

# **Management options for OSA**

Recommendations for treatment of OSA begins with weight loss, tobacco and alcohol cessation, and includes continuous positive airway pressure (CPAP) therapy, medical therapy, surgical intervention to modify the upper airway, electrical upper airway muscle stimulation and oral appliances (OAs) (6, 11).

#### **CPAP** therapy

CPAP, suggested as the gold standard in the management for OSA (11, 62), is a device with a motor unit that pushes filtered air through a facial mask (involving the nose, mouth or both)

at a positive pressure (8). The pressure inside the mask remains positive throughout the respiratory cycle, with the goal of maintaining a patent upper airway whilst the patient self ventilates (8). A major obstacle for CPAP is poor patient adherence (7, 63, 64). Nasal expiratory positive airway pressure (EPAP) uses the patients' own breathing in order to produce positive airway pressure to prevent obstructed breathing (65), although the efficacy of EPAP is not documented (66–68).

# Medical therapy

The medications that have been advocated to manage mild-tomoderate OSA include progestogens, acetazolamide (impacts respiratory muscle strength and endurance) (69), theophyllines (increases ventilator drive) (63), antidepressants (stimulates central and inhibits peripheral serotonin receptors) (70) and serotonin reuptake inhibitors (elevates respiratory arousal threshold) (71). Some studies report significant (70) or partial success (71) with medical therapy of OSA, but the outcome of medical treatment for OSA remains inconclusive (24, 63).

## Surgery

Mild-to-moderate patients with OSA who are CPAP resistant may be appropriate for surgical interventions such as uvuloplasty, bipolar radiofrequency surgery of the tongue base (7, 14, 72, 73) and correction of nasal pathologies (74). Complicated upper airway surgeries such as bimaxillary orthognathic procedures (17), anterior inferior mandibular sagittal osteotomy, genioplasty, maxillomandibular advancement osteotomies (10, 72) and uvulopalatopharyngoplasty (7, 17) are less frequently offered due to lower than 50% significant improvement in AHI in some patients (7) and potentially serious post-operative complications (14). The indications and efficacy of surgical treatment of OSA for patients who fail to respond to CPAP therapy are yet to be determined (6).

Electrical stimulation of the hypoglossal nerve to trigger the genioglossus muscle (75) and the tensor veli palatini muscle has been suggested to improve upper airway patency (76). To date, several means of electrical stimulation of the muscles to enlarge the pharyngeal air space have been reported with varying degrees of effectiveness (77–80).

#### **Oral appliances**

OAs are recommended for patients with: mild-to-moderate OSA, with severe OSA but intolerant of CPAP therapy, and who are not surgical candidates (14, 62, 81–84). These appliances are designed to enhance the patency of the posterior pharynx (11, 18, 85) and have been shown to decrease AHI and elevate oxygen saturation (84). OAs may be considered prior to surgical procedures as they are a reversible intervention.

A thorough history and clinical evaluation of the patient including evaluation of temporomandibular joint (TMJ) and masticatory muscle function and review of a completed sleep study (provided by a medical practitioner) are needed prior to determining the appropriate OA (18, 81, 83, 86). There are several types of OAs based upon their mechanism of action. OAs function by either advancing the mandible (mandibular repositioning appliance/mandibular advancement device), by sustaining the tongue in an anterior position (tongue-retaining device – TRD) especially in edentulous patients (11, 14, 18, 82, 86) or by supporting the soft palate (soft palate lifters) (11, 18, 82). Typical predictors for success with OAs include less severe disease, younger age, female sex, lower body mass index, smaller neck circumference and more positional (supine-dependent) OSA. Radiographic predictors of success using cephalometric parameters include a short palate, large retro-palatal airway space, narrow anterior posterior position of mandible (small SNB angle) and

higher anterior posterior position of the maxilla (large SNA angle) (21, 87). OAs have less effect on reducing AHI than CPAP, but do improve OSA when compared to the patients who receive no treatment (62, 81, 82, 84). Temporary adverse effects associated with OAs are mostly observed during the initial stages of therapy (14, 88) and include excessive or diminished salivation, mucosal dryness, transient discomfort/pain in teeth, gingiva, masticatory muscles, TMJ and headache (14, 21, 62, 88). The potential long-term side effects depend upon OA type, design and duration of use, and may include potential mesial migration of lower dentition and distal migration of upper dentition (89–91).

The contraindications for OA use may include severe periodontal disease, presence of temporomandibular disorders (TMD), severe gag reflex and incomplete dentition (less than 6 teeth on the maxillary/mandibular arches). In edentulous individuals, advanced bone loss and/or poor denture retention may limit effects of OAs. Severe hypoxia, growing children, protrusive range of the mandible <7 mm, mouth opening restricted to 30 mm or less, unmotivated patients and presence of severe comorbidities may impact the effect of OAs. (14, 90).

OAs may be commercially purchased or custom made by dental practitioners. Whilst a custom OA is an elaborate procedure associated with time and expense (62), the efficacy of over-the-counter OAs is limited when compared to the custom-made design (14, 62, 82), and data of their effectiveness are limited.

Patient adherence with OAs ranges from 51% to 88%, depending on the type used with adherence being highest for a mandibular advancement device (MAD) as compared to the other appliances (89, 91).

The designs of OAs vary with respect to their allowance for lateral jaw movement, the coupling mechanisms between maxillary and mandibular components, the ability to adjust the degree of advancement, vertical opening and the occlusal coverage (14, 21, 62, 82).

Recently, an oral negative pressure device that uses a mouthpiece connected to a suction mechanism to create an intra-oral vacuum to pull the tongue anteriorly has been presented (92). However, efficacy of this approach has not been established (63).

## Types of oral appliances

The Dental Division of Food and Drug Administration (FDA) requires OAs to have a label informing patients about contraindications and potential side effects (86). The American Academy of Sleep Medicine (AASM), Canadian Academy of Dental Sleep Medicine and Canadian Sleep Society suggested that OAs be administered by qualified and trained dental personnel who are experienced in the care of oral health, the TMJ, dental occlusion and related oral structures, as OAs may worsen an existing TMD problem and/or cause dental misalignment and discomfort (81, 83).

Following a sleep study performed by a qualified medical practitioner, a thorough history and orofacial examination including dental structures are required (86). If the patient is a suitable candidate, then, depending on the type of OA chosen, the dental practitioner will obtain dental impressions of the maxillary and mandibular arches, custom bite registration in centric occlusion and determine the appropriate advanced position (21). Even though the types and shapes of OAs vary widely, a design that provides appropriate mandibular advancement or tongue protrusion without excessive mouth opening and with stability of tooth position is applicable (84).

Amongst OAs, the MAD is most commonly preferred. It functions by bringing the mandible forward, thereby increasing the airway volume (85). It can be either fixed (predetermined advancement), adjustable or either a one-piece (mono-bloc) or a two-piece device (bi-bloc) (14, 82, 85). Increased TMD is reported with one-piece MAD as compared to other devices (85). The adjustable MAD incorporates a mechanism that allows progressive advancement of the mandible after initial fabrication until the optimal mandibular position is achieved. Typically, a MAD is advanced to 75% of maximum mandibular protrusion (62); however, a greater protrusion may be necessary especially in severe cases (62), possibly resulting in more risk for TMD and occlusal problems (82, 85, 88). As the degree of vertical opening has not been shown to impact efficacy (82), it is suggested to keep the vertical to a minimum in order to increase patient adherence (62). A standard method for the patient-specific protrusion and MAD design is yet to be defined (82, 85), and therefore, adjustable device design is frequently chosen.

The TRD is suggested for patients with an excessively large tongue, when the use of a MAD is limited due to edentulous ridges, the presence of periodontal problems or lack of an adequate number of teeth for device retention (18, 82). Soft palate lifters are removable maxillary appliances which cover the mucosal surfaces of the hard–soft palatal area and the lingual sides of the teeth, so they can support and lift the soft palate (18).

The subjective therapeutic efficacy of OAs can be assessed by the dental practitioner during patient management, and necessary measures can be initiated for improved outcomes (Fig. 2) (20).

# Dental practitioners' role in OSA diagnosis and management

Many patients may not recognise or appreciate the role of dentistry in OSA. Therefore, patients may fail to report their signs and symptoms associated with this disorder or knowledge of their diagnosis to their dental practitioner (93). However, dental practitioners have the opportunity to inquire about the possibility of OSA and to examine the entrance to the

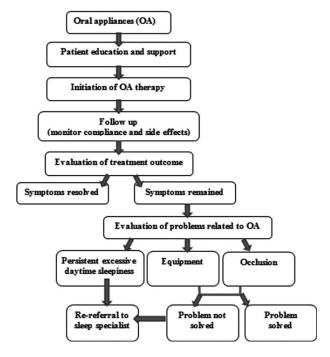


Fig. 2. The steps of initiation, follow-up and management of OA treatment in patients with OSA.

nasopharyngeal area in addition to routine investigation of oro-dental hard and soft tissues (8, 21, 94). During the initial interview, dental practitioners may enquire about signs and symptoms of OSA (Table 1). Dental examination should include soft and hard tissue and masticatory muscle and TMJ assessment, evaluation of occlusion and determination of bruxism (20, 86). Any potential dental pathosis diagnosed must be treated (81, 83). In certain cases, cephalometric analysis may be performed by the trained professional (20, 81). Following this, as per the guidelines of the Adult OSA Task Force of The American Academy of Sleep Medicine (20), the patients with suspected OSA should be referred to specialised centres for further evaluation and diagnosis (14, 95). When needed, dental practitioners may seek the assistance of other professional colleagues for assessment of daytime sleepiness by the Epworth Sleepiness Scale (ESS), (96), risk for OSA using the STOP-BANG questionnaire (97) or the Berlin questionnaire (98), for possible depressive symptoms by the Beck Depression Inventory (BDI) (99), and subjective sleep quality with the Pittsburgh Sleep Quality Index (PSQI) (100).

Orofacial and dental features associated with the presence of OSA are as follows: increased neck circumference ( $\geq$ 16 inch in females,  $\geq$ 17 inch in males), body mass index  $\geq$ 30 kg/m<sup>2</sup> (20), Mallampati score of 3–4 (9, 20), retrognathic mandible, macroglossia (20), longer face height, scalloped tongue, tonsillar hypertrophy and decreased lateral peritonsillar space, elongated uvula, deep hard palate, nasal anomalies and excessive overjet (20, 101). If a dental practitioner is not comfortable in following the appropriate information gathering procedures and examination, referral to a knowledgeable colleague may serve as a vital step in both assessing and management of OSA (14, 15).

TABLE 1.	Questions	and	examination	findings	to	be	considered	in	the
screening	for OSA (8	3)							

Questions
Do you snore?
Does snoring occur in a particular position?
Have you ever had upper airway surgery?
Has anyone ever witnessed you stop breathing or have choking episodes in your sleep?
For how long do you sleep?
Do you feel refreshed on waking?
Do you experience daytime sleepiness, poor concentration or poor memory?
Have you ever had any road traffic incidents or near misses when driving?
Do you have to use the toilet in the night?
Do you have headaches in the early morning?
Do you have loss of libido?
Examination
Body mass index, neck circumference
Blood pressure
Thyroid assessment
Assessment for nasal obstruction and retrognathia
Oral examination for tonsillar enlargement and oropharyngeal crowding
Additional assessment should be made regarding medication use,
comorbidities, alcohol and tobacco consumption

Once a diagnosis is provided by a medical practitioner and if appropriate (mild-to-moderate OSA or CPAP intolerant), the patient may be managed by a knowledgeable dental practitioner who has specific education and training regarding OSA (81, 83). The dental practitioner can then observe the patient at regular intervals (recall at 6, 12 months; yearly thereafter) to assess patient adherence ( $\geq$ 4 h use for  $\geq$ 70% the nights), monitor OA efficacy and monitor occurrence of side effects and modify the OA as needed (20, 81, 95) (Table 2). Thus, dental practitioners should be a part of the multidisciplinary/interdisciplinary team for patients with OSA (14), which includes medical sleep specialists, referring physicians, nurses, respiratory therapists and sleep technologists (86).

The selection and fabrication of custom-made OAs should be made only by the dental practitioner who considers the

TABLE 2. The role of the dental practitioner in OSA (13, 94)

Assessment of patients with OSA before intervention (once diagnosis provided by medical practitioner)				
Informing patients about management modalities				
Management of present oral problems				
Counselling on oral hygiene and OA maintenance				
Recalling every 4-6 weeks to control patient adherence and treatment efficacy				
Management of OA-related complications/adverse effects				
Providing communication between sleep specialists about the outcome of OA treatment				
Determination of the need to change the OSA treatment				
Establishment of protocols on OA titration, personnel training, official procedures (i.e. insurance coverage)				
Participation in multidisciplinary/interdisciplinary meetings				
Organising routine patient follow-up care				

patient-specific needs, the OSA severity, and availability and affordability of management alternatives (14, 86). In refractory patients, these patients should be referred to the appropriate health practitioner for a different approach in order to provide improved general health and quality of life (14, 18, 102). This is especially important for patients with systemic conditions such as cardiovascular, respiratory, cognitive and endocrine (type 2 diabetes mellitus) disorders (38, 40). Clearly, collaboration between medical and dental practitioners is vital for screening, diagnosis, management and follow-up of OSA (14, 18, 81, 93, 95).

#### **Educational need**

Dental practitioners may be reluctant to engage in management of OSA due to concerns of litigation and malpractice (15), as they report a lack of adequate training in the screening for OSA and its subsequent management in providing OAs (86, 93, 94). The training that is currently available is mainly derived from courses offered by OA manufacturers, scientific meetings and information garnered from the literature (94, 95). It is reported that in 49 USA dental schools, the mean total predoctoral sleep curriculum time is 2.96 h (94). Additionally, only prosthodontics, oral medicine and orofacial pain postdoctoral programs include sleep-related disorders in their curricula (94). In Middle Eastern universities, the total average hours dedicated to teaching sleep medicine was 1.2h and this was less than half of the average of that in North American dental schools (103). A recent database search resulted in only two papers related to the education of OSA in dental curricula; however, these did not involve European institutions.

Bian et al. (104) reported that because of the scant curricular time, resources, and teaching facilities, dental schools lacked the appropriate training regarding sleep disorders and over half of the dental practitioners failed to recognise OSA in their patients. However, dental practitioners who have receive some kind of education regarding OSA report managing patients with OSA more frequently (104).

Considering that dental practitioners will have patients with OSA either knowingly or unknowingly, they should have appropriate background knowledge about OSA and related morbidities and be competent to screen for SBDs and OSA. Unfortunately, current dental curricula have failed to respond to this health trend and, at best, provide an introduction regarding OSA usually without an appropriate dental approach for these patients (15, 94). It is prudent for dental institutions to be at the forefront of educating in this subject matter. Inclusion of specific courses and/or lectures related to sleep disorders and specifically to OAs and the role of the dental practitioner is warranted with emphasis directed towards recognition, screening and management of OSA as part of the health care team.

The previous sections of this paper highlight the background information required to be provided in educating predoctoral and/or postdoctoral students regarding OSA. Table 3 provides a template for topics to be considered as part of dental curricula regarding OSA and outlines suggestions for preparation of course material for this purpose.

# The need for including OSA in dental curricula

TABLE 3.	Topics to be considered in	n dental curricula towards the education of OSA
----------	----------------------------	---

Proposed topics to be included into a dental curriculum	Contents
1. Epidemiology of sleep issues in society	Prevalence of sleep issues in adults/children, gender differences and association with other medical disorders
2. Normal sleep	The components and periods of normal sleep pattern
3. Prevalence of sleep disorders	The number of children and adult patients with sleep disorders and future trends in global prevalence of sleep-related problems
<ol> <li>Anatomy and physiology of the upper airway</li> </ol>	The hard and soft tissues of the related region and their roles in normal physiological functioning
5. Terminology	The definitions of sleep-related disorders, obstructive sleep apnoea, hypopnoea, apnoea/hypopnoea index (AHI), respiratory effort-related arousals, polysomnography, respiratory disturbance index
6. Signs and symptoms of obstructive sleep apnoea (OSA)	Patient report and clinical presentation of sleep-related problems, that is daytime sleepiness, excessive tiredness, sleep fragmentation
7. Physiologic effects of OSA	The impact of molecular changes such as low oxygen saturation via production and release of inflammatory and hormonal factors; disease states such as autoimmune disorders, cognitive disabilities, hypertension, cerebrovascular accident, congestive heart failure and atrial fibrillation, poor cognitive and functional status, dementia, Alzheimer's disease, bronchial asthma; and tumorigenesis due to altered physiologic processes associated with OSA
8. Risk factors/predisposing factors for OSA	Age, obesity, sleeping position, tobacco and alcohol use, type 2 diabetes mellitus, asthma, increased neck circumference (≥16 inch in females, ≥17 inch in males), body mass index ≥30 kg/m <sup>2</sup> , Mallampati score of 3-4, retrognathic mandible, macroglossia, longer face height, scalloped tongue, tonsillar hypertrophy and decreased lateral peritonsillar space, elongated uvula, deep hard palate, nasal anomalies and exaggerated overjet
9. Medical assessment for OSA	Health history and symptom history related to snoring and OSA, head and neck examination and PSG evaluation and diagnosis(es)
10. Treatment options for snoring and OSA	Behavioural options and avoidance of risk factors to include weight loss, tobacco and alcohol cessation, over-the-counter products for snoring, positive airway pressure therapies, oral negative pressure devices, medical therapy, surgical intervention to modify the upper airway, electrical upper airway muscle stimulation and oral appliances
11. Dental practitioners role in snoring	g and OSA
a. Patient evaluation	A thorough history and clinical extra-oral-intra-oral evaluation of the patient including the masticatory muscles and temporomandibular joints (TMJ), evaluation of occlusion and determination of bruxism, screening/assessment and/or review (as provided by professional colleagues) of sleep disturbance with appropriate means, that is Epworth Sleepiness Scale, Berlin Questionnaire, STOP-BANG questionnaire, Pittsburgh Sleep Quality Index, Multiple Sleep Latency Test, Beck Depression Inventory and dental models for reviewing occlusal schema
b. Imaging	Bitewing, periapical, cephalometric and panoramic radiographs, orthognathic analyses, MRI, CBCT (use of images when required)
c. Oral appliance therapy (OA)	
i. Indications for use	Patients with mild-to-moderate OSA, smaller neck circumference, more positional (supine-dependent) OSA, cephalometric parameters such as short palate, large retro-palatal airway space, narrow anterior posterior position of mandible (small SNB angle) and higher anterior posterior position of the maxilla
ii. Classification of OA	Mandibular advancement devices (fixed or predetermined advancement), adjustable or either a one-piece or a two-piece device, tongue-retaining devices
iii. Mechanism of action	Bringing the mandible forward, thereby increasing the airway volume, holding the tongue in a protruded position to enlarge the pharyngeal airway space
lv. Evidence for use of OA	Literature review following an evidence-based approach
V. Management with OA	Protocol for OA use to include appliance design variations, selection criteria, potential candidates of OA therapy, contraindications for use
Vi. Potential side effects	Minor side effects and more significant side effects, prevalence of side effects/complications and
and complications	understanding/managing side effects to include excessive/diminished salivation, mucosal dryness, transient discomfort/pain in teeth, gingiva, masticatory muscles and TMJ, headache, potential mesial migration of lower dentition and distal migration of upper dentition
Vii. Multidisciplinary/ interdisciplinary approach	The role of dental practitioners and those of the sleep specialists, referring physicians, nurses, respiratory therapists and sleep technologists in management of OSA

The authors of this paper suggest that emphasis should be placed on an interprofessional model of collaborative practice with various health practitioners, as previously proposed (15). Therefore, dental institutions need to have access to qualified faculty who are knowledgeable in providing didactic and clinical material on this subject, in addition to having adequate clinical laboratories, courses and hands-on experiences regarding OSA, otherwise preparing future dental practitioners will be incomplete (94). This approach will facilitate best management of patients with OSA (86).

# References

- 1 Terzano MG, Parrino L, Sherieri A, et al. Atlas, rules, and recording techniques for the scoring of cyclic alternating pattern (CAP) in human sleep. Sleep Med 2001: 2: 537–553.
- 2 Berry RB, Brooks R, Gamaldo CE, Harding SM, Marcus CL, Vaughn BV. The AASM Manual for the Scoring of Sleep and Associated Events: Rules, Terminology and Technical Specifications, Version 2.0.1. Darien, Illinois: American Academy of Sleep Medicine; 2013 Available from: http://www.aasmnet.org
- 3 Eckert DJ, Younes MK. Arousal from sleep: implications for obstructive sleep apnea pathogenesis and treatment. J Appl Physiol 1985: 116: 302–313.
- 4 Yemenjian D. Making the Most of Sleep Study Results, 2005. http://respiratory-care-sleep-medicine.advanceweb.com/ SharedResources/Downloads/2005/040105/MR/ mr040105\_p26handout.pdf Accessed on 03.22.2015
- 5 Horner RL. Pathophysiology of obstructive sleep apnea. J Cardiopulm Rehabil Prev 2008: 28: 289–298.
- 6 Freedman N. Improvements in current treatments and emerging therapies for adult obstructive sleep apnea. F1000Prime Rep 2014: 6: 36.
- 7 Jordan AS, McSharry DG, Malhotra A. Adult obstructive sleep apnoea. Lancet 2014: 383: 736–747.
- 8 Greenstone M, Hack M. Obstructive sleep apnoea. BMJ 2014: 348: g3745.
- 9 Rodrigues MM, Dibbern RS, Goulart CW. Nasal obstruction and high Mallampati score as risk factors for obstructive sleep apnea. Braz J Otorhinolaryngol 2010: 76: 596–599.
- 10 Ronchi P, Cinquini V, Ambrosoli A, Caprioglio A. Maxillomandibular advancement in obstructive sleep apnea syndrome patients: a restrospective study on the sagittal cephalometric variables. J Oral Maxillofac Res 2013: 4: e5.
- 11 Annapurna K, Suganya S, Vasanth R, Kumar PR. Prosthodontic approach to treat obstructive sleep apnea. Ann Med Health Sci Res 2014: 4: 481–486.
- 12 Patino M, Sadhasivam S, Mahmoud M. Obstructive sleep apnoea in children: perioperative considerations. Br J Anaesth 2013: 111 (suppl. 1): 83–95.
- 13 Franklin KA, Lindberg E. Obstructive sleep apnea is a common disorder in the population-a review on the epidemiology of sleep apnea. J Thorac Dis 2015: 7: 1311–1322.
- 14 Ngiam J, Balasubramaniam R, Darendeliler MA, Cheng AT, Waters K, Sullivan CE. Clinical guidelines for oral appliance therapy in the treatment of snoring and obstructive sleep apnoea. Aust Dent J 2013: 58: 408–419.
- 15 Ivanoff CS, Hottel TL, Pancratz F. Is there a place for teaching obstructive sleep apnea and snoring in the predoctoral dental curriculum? J Dent Educ 2012: 76: 1639–1645.
- 16 Peppard PE, Young T, Barnet JH, Palta M, Hagen EW, Hla KM. Increased prevalence of sleep-disordered breathing in adults. Am J Epidemiol 2013: 177: 1006–1014.

- 17 Marcus CL, Brooks LJ, Draper KA, et al. Diagnosis and management of childhood obstructive sleep apnea syndrome. Pediatrics 2012: 130: e714–e755.
- 18 Haviv Y, Benoliel R, Bachar G, Michaeli E. On the edge between medicine and dentistry: review of the dentist's role in the diagnosis and treatment of snoring and sleep apnea. Quintessence Int 2014: 45: 345–353.
- 19 Huynh NT, Emami E, Helman JI, Chervin RD. Interactions between sleep disorders and oral diseases. Oral Dis 2014: 20: 236–245.
- 20 Epstein LJ, Kristo D, Strollo PJ, Jr, et al. Clinical guideline for the evaluation, management and long-term care of obstructive sleep apnea in adults. J Clin Sleep Med 2009: 5: 263–276.
- 21 Conley RS. Evidence for dental and dental specialty treatment of obstructive sleep apnoea. Part 1: the adult OSA patient and Part 2: the paediatric and adolescent patient. J Oral Rehabil 2011: 38: 136–156.
- 22 Gottlieb DJ, Whitney CW, Bonekat WH, et al. Relation of sleepiness to respiratory disturbance index: the sleep heart health study. Am J Respir Crit Care Med 1999: 159: 502–507.
- 23 McSharry DG, Saboisky JP, Deyoung P, et al. A mechanism for upper airway stability during slow wave sleep. Sleep 2013: 36: 555– 563.
- 24 Smith IE, Quinnell TG. Pharmacotherapies for obstructive sleep apnoea: where are we now? Drugs 2004: 64: 1385–1399.
- 25 Baessler A, Nadeem R, Harvey M, et al. Treatment for sleep apnea by continuous positive airway pressure improves levels of inflammatory markers - a meta-analysis. J Inflamm (Lond) 2013: 10: 13.
- 26 Sahlman J, Miettinen K, Peuhkurinen K, et al. The activation of the inflammatory cytokines in overweight patients with mild obstructive sleep apnoea. J Sleep Res 2010: 19: 341–348.
- 27 von Känel R, Loredo JS, Ancoli-Israel S, Mills PJ, Natarajan L, Dimsdale JE. Association between polysomnographic measures of disrupted sleep and prothrombotic factors. Chest 2007: 131: 733– 739.
- 28 Cizza G, Piaggi P, Lucassen EA, et al. Obstructive sleep apnea is a predictor of abnormal glucose metabolism in chronically sleep deprived obese adults. PLoS One 2013: 8: e65400.
- 29 Yokoe T, Minoguchi K, Matsuo H, et al. Elevated levels of Creactive protein and interleukin-6 in patients with obstructive sleep apnea syndrome are decreased by nasal continuous positive airway pressure. Circulation 2003: 107: 1129–1134.
- 30 Kokturk O, Ciftci TU, Mollarecep E, Ciftci B. Elevated C-reactive protein levels and increased cardiovascular risk in patients with obstructive sleep apnea syndrome. Int Heart J 2005: 46: 801–809.
- 31 Alberti A, Sarchielli P, Gallinella E, et al. Plasma cytokine levels in patients with obstructive sleep apnea syndrome: a preliminary study. J Sleep Res 2003: 12: 305–311.
- 32 Cavagna L, Boffini N, Cagnotto G, Inverardi F, Grosso V, Caporali R. Atherosclerosis and rheumatoid arthritis: more than a simple association. Mediators Inflamm 2012: 2012: 147354.
- 33 Profumo E, Buttari B, Petrone L, et al. Actin is a target of T-cell reactivity in patients with advanced carotid atherosclerotic plaques. Mediators Inflamm 2013: 2013: 261054.
- 34 Cadby G, McArdle N, Briffa T, et al. Severity of obstructive sleep apnea is an independent predictor of incident atrial fibrillation hospitalization in a large sleep-clinic cohort. Chest 2015: 148: 945–952.
- 35 Haraldsson PO, Carenfelt C, Diderichsen F, Nygren A, Tingvall C. Clinical symptoms of sleep apnea syndrome and automobile accidents. ORL J Otorhinolaryngol Relat Spec 1990: 52: 57–62.
- 36 Krakow B, Melendrez D, Johnston L, et al. Sleep-disordered breathing, psychiatric distress, and quality of life impairment in sexual assault survivors. J Nerv Ment Dis 2002: 190: 442–452.

© 2016 John Wiley & Sons A/S. Published by John Wiley & Sons Ltd

The need for including OSA in dental curricula

- 37 Abad Massanet F, Rivero Pérez J, Vera Osorio JA. Differences in health-related quality of Life between men and women with sleepdisordered breathing. Semergen 2014: 41: 407–412.
- 38 Heffner JE, Rozenfeld Y, Kai M, Stephens EA, Brown LK. Prevalence of diagnosed sleep apnea among patients with type 2 diabetes in primary care. Chest 2012: 141: 1414–1421.
- 39 Medeiros C, Bruin V, Férrer D, et al. Excessive daytime sleepiness in type 2 diabetes. Arq Bras Endocrinol Metabol 2013: 57: 425–430.
- 40 Tahrani AA, Ali A, Stevens MJ. Obstructive sleep apnoea and diabetes: an update. Curr Opin Pulm Med 2013: 19: 631–638.
- 41 Punjabi NM, Sorkin JD, Katzel LI, Goldberg AP, Schwartz AR, Smith PL. Sleep-disordered breathing and insulin resistance in middle-aged and overweight men. Am J Respir Crit Care Med 2002: 165: 677–682.
- 42 West SD, Groves DC, Lipinski HJ, et al. The prevalence of retinopathy in men with type 2 diabetes and obstructive sleep apnoea. Diabet Med 2010: 27: 423–430.
- 43 Bonsignore MR, Borel AL, Machan E, Grunstein R. Sleep apnoea and metabolic dysfunction. Eur Respir Rev 2013: 22: 353–364.
- 44 Barbe F, Duran-Cantolla J, Sanchez-de-la-Torre M, et al. Effect of continuous positive airway pressure on the incidence of hypertension and cardiovascular events in nonsleepy patients with obstructive sleep apnea: a randomized controlled trial. JAMA 2012: 307: 2161–2168.
- 45 Guyenet PG. The sympathetic control of blood pressure. Nat Rev Neurosci 2006: 7: 335–346.
- 46 Arzt M, Young T, Finn L, Skatrud JB, Bradley TD. Association of sleep-disordered breathing and the occurrence of stroke. Am J Respir Crit Care Med 2005: 172: 1447–1451.
- 47 Baguet JP, Lévy P, Barone-Rochette G, et al. Masked hypertension in obstructive sleep apnea syndrome. J Hypertens 2008: 26: 885– 892.
- 48 Morris G, Berk M, Walder K, Maes M. Central pathways causing fatigue in neuro-inflammatory and autoimmune illnesses. BMC Med 2015: 13: 28.
- 49 Tan H, Cao J, Zhang J, Zuo Z. Critical role of inflammatory cytokines in impairing biochemical processes for learning and memory after surgery in rats. J Neuroinflammation 2014: 11: 93.
- 50 Griffin ÉW, Skelly DT, Murray CL, Cunningham C. Cyclooxygenase-1-dependent prostaglandins mediate susceptibility to systemic inflammation-induced acute cognitive dysfunction. J Neurosci 2013: 33: 15248–15258.
- 51 Buratti L, Viticchi G, Falsetti L, et al. Vascular impairment in Alzheimer's disease: the role of obstructive sleep apnea. J Alzheimers Dis 2014: 38: 445–453.
- 52 National Heart, Lung, and Blood Institute [homepage on the Internet]. Bethesda: National Institutes of Health. [cited 2013 Mar 15]. Expert Panel Report 3 Guidelines for the Diagnosis and Management of Asthma. [Adobe Acrobat document, 440p.]. Available from: http://www. nhlbi.nih.gov/guidelines/asthma/ asthgdln.pdf
- 53 Teodorescu M, Polomis DA, Hall SV, et al. Association of obstructive sleep apnea risk with asthma control in adults. Chest 2010: 138: 543–550.
- 54 Arter JL, Chi DS, M G, Fitzgerald SM, Guha B, Krishnaswamy G. Obstructive sleep apnea, inflammation, and cardiopulmonary disease. Front Biosci 2004: 9: 2892–2900.
- 55 Byun MK, Park SC, Chang YS, et al. Associations of moderate to severe asthma with obstructive sleep apnea. Yonsei Med J 2013: 54: 942–948.
- 56 Cao J, Feng J, Li L, Chen B. Obstructive sleep apnea promotes cancer development and progression: a concise review. Sleep Breath 2015: 19: 453–457.

- 57 Nieto FJ, Peppard PE, Young T, Finn L, Hla KM, Farre R. Sleepdisordered breathing and cancer mortality: results from the Wisconsin Sleep Cohort Study. Am J Respir Crit Care Med 2012: 186: 190–19.
- 58 Greer SN, Metcalf JL, Wang Y, Ohh M. The updated biology of hypoxia-inducible factor. EMBO J 2012: 31: 2448–2460.
- 59 Semenza GL. Hypoxia-inducible factors: mediators of cancer progression and targets for cancer therapy. Trends Pharmacol Sci 2012: 33: 207–214.
- 60 Wright C, Milne S, Leeson H. Sperm DNA damage caused by oxidative stress: modifiable clinical, lifestyle and nutritional factors in male infertility. Reprod BioMed Online 2014: 28: 684–703.
- 61 Campos-Rodriguez F, Martinez-Garcia MA, Martinez M, et al. Association between obstructive sleep apnea and cancer incidence in a large multicenter Spanish cohort. Am J Respir Crit Care Med 2013: 187: 99–105.
- 62 Sutherland K, Phillips CL, Davies A, et al. CPAP pressure for prediction of oral appliance treatment response in obstructive sleep apnea. J Clin Sleep Med 2014: 10: 943–949.
- 63 Mason M, Welsh EJ, Smith I. Drug therapy for obstructive sleep apnoea in adults. Cochrane Database Syst Rev 2013: 5: CD003002.
- 64 Baba RY, Mohan A, Metta VV, Mador MJ. Temperature controlled radiofrequency ablation at different sites for treatment of obstructive sleep apnea syndrome: a systematic review and meta-analysis. Sleep Breath 2015: 00: 000–000. [Epub ahead of print] PubMed PMID: 25643764.
- 65 Doshi R, Westbrook P. A novel non-prescription nasal EPAP device (Theravent) to treat snoring. Sleep Diagno and Ther 2012: 7: 1–5.
- 66 Rossi VA, Winter B, Rahman NM, et al. The effects of Provent on moderate to severe obstructive sleep apnoea during continuous positive airway pressure therapy withdrawal: a randomised controlled trial. Thorax 2013: 68: 854–859.
- 67 White DP. New therapies for obstructive sleep apnea. Semin Respir Crit Care Med 2014: 35: 621–628.
- 68 Chopra A, Das P, Ramar K, Staats B, St Louis EK. Complex sleep apnea associated with use of nasal expiratory positive airway (nEPAP) device. J Clin Sleep Med 2014: 10: 577–579.
- 69 Gonzales JU, Scheuermann BW. Effect of acetazolamide on respiratory muscle fatigue in humans. Respir Physiol Neurobiol 2013: 185: 386–392.
- 70 Sukys-Claudino L, Moraes W, Guilleminault C, Tufik S, Poyares D. Beneficial effect of donepezil on obstructive sleep apnea: a doubleblind, placebo-controlled clinical trial. Sleep Med 2012: 13: 290–296.
- 71 Eckert DJ, Malhotra A, Wellman A, White DP. Trazodone increases the respiratory arousal threshold in patients with obstructive sleep apnea and a low arousal threshold. Sleep 2014: 37: 811–819.
- 72 Plzak J, Zabrodsky M, Kastner J, Betka J, Klozar J. Combined bipolar radiofrequency surgery of the tongue base and uvulopalatopharyngoplasty for obstructive sleep apnea. Arch Med Sci 2013: 9: 1097–1101.
- 73 Zhang XM, Tham CJ, Yin YL, Sun YQ, Zhou X. A novel palatal implant surgery combined with uvulopalatopharyngoplasty and inferior turbinate radiofrequency for the treatment of moderate to severe obstructive sleep apnea: a pilot study. Eur Arch Otorhinolaryngol 2015: 272: 1195–1202.
- 74 Park CY, Hong JH, Lee JH, et al. Clinical effect of surgical correction for nasal pathology on the treatment of obstructive sleep apnea syndrome. PLoS ONE 2014: 9: e98765.
- 75 Eisele DW, Smith PL, Alam DS, Schwartz AR. Direct hypoglossal nerve stimulation in obstructive sleep apnea. Arch Otolaryngol Head Neck Surg 1997: 123: 57–61.

© 2016 John Wiley & Sons A/S. Published by John Wiley & Sons Ltd

- 76 McWhorter AJ, Rowley JA, Eisele DW, Smith PL, Schwartz AR. The effect of tensor veli palatini stimulation on upper airway patency. Arch Otolaryngol Head Neck Surg 1999: 125: 937–940.
- 77 Hida W, Okabe S, Miki H, et al. Effects of submental stimulation for several consecutive nights in patients with obstructive sleep apnoea. Thorax 1994: 49: 446–452.
- 78 Schwartz AR, Bennett ML, Smith PL, et al. Therapeutic electrical stimulation of the hypoglossal nerve in obstructive sleep apnea. Arch Otolaryngol Head Neck Surg 2001: 127: 1216–1223.
- 79 Eastwood PR, Barnes M, Walsh JH, et al. Treating obstructive sleep apnea with hypoglossal nerve stimulation. Sleep 2011: 34: 1479–1486.
- 80 Kezirian EJ, Goding GS Jr, Malhotra A, et al. Hypoglossal nerve stimulation improves obstructive sleep apnea: 12-month outcomes. J Sleep Res 2014: 23: 77–83.
- 81 Kushida CA, Morgenthaler TI, Littner MR, et al. Practice parameters for the treatment of snoring and obstructive sleep apnea with oral appliances: an Update for 2005. Sleep 2006: 29: 240–243.
- 82 Ahrens A, McGrath C, Hägg U. A systematic review of the efficacy of oral appliance design in the management of obstructive sleep apnoea. Eur J Orthod 2011: 33: 318–324.
- 83 Gauthier L, Almeida F, Arcache JP, et al. Position paper by Canadian dental sleep medicine professionals on the role of different health care professionals in managing obstructive sleep apnea and snoring with oral appliances. Can Respir J 2012: 19: 307–309.
- 84 Okuno K, Sato K, Arisaka T, et al. The effect of oral appliances that advanced the mandible forward and limited mouth opening in patients with obstructive sleep apnea: a systematic review and meta-analysis of randomised controlled trials. J Oral Rehabil 2014: 41: 542–554.
- 85 Dieltjens M, Vanderveken OM, Heyning PH, Braem MJ. Current opinions and clinical practice in the titration of oral appliances in the treatment of sleep-disordered breathing. Sleep Med Rev 2012: 16: 177–185.
- 86 Spencer J, Patel M, Mehta N, et al. American Academy of Craniofacial Pain Task Force on Mandibular Advancement Oral Appliance Therapy for Snoring and Obstructive Sleep Apnea. Special consideration regarding the assessment and management of patients being treated with mandibular advancement oral appliance therapy for snoring and obstructive sleep apnea. Cranio 2013: 31: 10–13.
- 87 Ng AT, Darendeliler MA, Petocz P, Cistulli PA. Cephalometry and prediction of oral appliance treatment outcome. Sleep Breath 2012: 16: 47–58.
- 88 Doff MH, Veldhuis SK, Hoekema A, et al. Long-term oral appliance therapy in obstructive sleep apnea syndrome: a controlled study on temporomandibular side effects. Clin Oral Investig 2012: 16: 689–697.

- 89 Almeida FR, Lowe AA, Sung JO, Tsuiki S, Otsuka R. Long-term sequellae of oral appliance therapy in obstructive sleep apnea patients: part 1. Cephalometric analysis. Am J Orthod Dentofacial Orthop 2006: 129: 195–204.
- 90 Sunitha C, Kumar SA. Obstructive sleep apnea and its management. Indian J Dent Res 2010: 21: 119–124.
- 91 Gong X, Zhang J, Zhao Y, Gao X. Long-term therapeutic efficacy of oral appliances in treatment of obstructive sleep apneahypopnea syndrome. Angle Orthod 2013: 83: 653–658.
- 92 Colrain IM, Black J, Siegel LC, et al. A multicenter evaluation of oral pressure therapy for the treatment of obstructive sleep apnea. Sleep Med 2013: 14: 830–837.
- 93 Jauhar S, Lyons MF, Banham SW, Orchardson R, Livingston E. The attitudes of general dental practitioners and medical specialists to the provision of intra-oral appliances for the management of snoring and sleep apnoea. Br Dent J 2008: 205: 653–657.
- 94 Simmons MS, Pullinger A. Education in sleep disorders in US dental schools DDS programs. Sleep Breath 2012: 16: 383–392.
- 95 Sharma S, Essick G, Schwartz D, Aronsky AJ. Sleep medicine care under one roof: a proposed model for integrating dentistry and medicine. J Clin Sleep Med 2013: 9: 827–833.
- 96 Johns MW. A new method for measuring daytime sleepiness: the Epworth sleepiness scale. Sleep 1991: 14: 540–545.
- 97 Chung F, Subramanyam R, Liao P, Sasaki E, Shapiro C, Sun Y. High STOP-Bang score indicates a high probability of obstructive sleep apnoea. Br J Anaesth 2012: 108: 768–775.
- 98 Netzer NC, Stoohs RA, Netzer CM, Clark K, Strohl KP. Using the Berlin Questionnaire to identify patients at risk for the sleep apnea syndrome. Ann Intern Med 1999: 131: 485–491.
- 99 Beck AT, Steer RA. Internal consistencies of the original and revised Beck Depression Inventory. J Clin Psychol 1984: 40: 1365– 1367.
- 100 Mondal P, Gjevre JA, Taylor-Gjevre RM, Lim HJ. Relationship between the Pittsburgh Sleep Quality Index and the Epworth Sleepiness Scale in a sleep laboratory referral population. Nat Sci Sleep 2013: 5: 15–21.
- 101 Weiss TM, Atanasov S, Calhoun KH. The association of tongue scalloping with obstructive sleep apnea and related sleep pathology. Otolaryngol Head Neck Surg 2005: 133: 966–971.
- 102 Kelly SE, Waite PD. The role of the general dentist in the management of obstructive sleep apnea, application of oral appliance therapy, and the indication for surgery. Gen Dent 2013: 61: 30–37.
- 103 Talaat W, AlRozzi B, Kawas SA. Sleep medicine education and knowledge among undergraduate dental students in Middle East universities. Cranio 2015. Aug 13:2151090315Y0000000019.
- 104 Bian H. Knowledge, opinions, and clinical experience of general practice dentists toward obstructive sleep apnea and oral appliances. Sleep Breath 2004: 8: 85–90.